# MAR 0 3 2004

### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Électronic Version v18 Stylesheet Version v18.0

Title of Invention

METHODS AND APPARATUS FOR TREATING INTERVERTEBRAL DISCS

Application Number:

10/656597

Confirmation Number:

7953

First Named Applicant:

David Hovda

Attorney Docket Number:

Search string:

( 4658817 or 4896671 or 4958539 or 4976709 or 5137530 or 5201729 or 5230334 or 5318564 or 5374265 or 5403311 or 5433739 or 5439446 or 5458596 or 5542945 or 5562703 or 5660836 or 5720744 or 5846196 or 5860951 or 5954716 or 5980504 or 6007570 or 6073051 or 6261311 or 6575968 or 4269174 or 4483338 or 5626576 or 5785705 or 5823955 or 5916214 or 6096036 or 6105581 or 6122549 or 6258086 or 6283961 or 6319250 or 6500173 or 4582057 or 6095149

or 20020016583 ).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init Cite.No.	Pub. No.	Date	Applicant.	Kind	Class	Subclass
Ph 1	20020016583	2002-02-07	Cragg			

## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 6 of 6 parts each submitted on March 3, 2004. The first part of this submission (part 1) has been concomittently filed via Priority mail with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 6) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

Examiner Name	Date
RRollins	4/17/06

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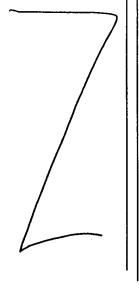
( 6190381 or 6210402 or 6277112 or 6102046 or 5375588 or 6530922 or 5197466 or 6602248 or 3939839 or 3970088 or 4074718 or 4092986 or 4181131 or 4184492 or 4567890 or 4660571 or 4727874 or 4920978 or 4936281 or 4966597 or 5047026 or 5047027 or 5084044 or 5080660 or 5088997 or 5099840 or 5108391 or 5112330 or 5167659 or 5217457 or 5217459 or 5277201 or 5306238 or 5423882 or 5441499 or 5451224 or 5454809 or 2056377 or 3828780 or 3901242 or 5102410 or 6632220 or 6632193 or 6468274

or 4582057 or 20020029036 ).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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İ		10	3970088	1976-07-20	Morrison	
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**Subclass** 

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
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### Remarks

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Examiner Name	Date
Phollers	4/17/06



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Search string:

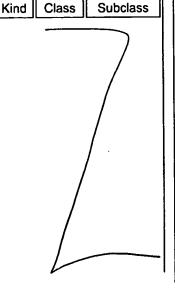
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or 6056746 or 6068628 ).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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### Remarks

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This submission is part 3 of 6 parts each submitted on March 3, 2004. The first part of this submission (part 1) has been concomittently filed via Priority mail with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 3) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

Examiner Name		, Dațe	
R.Rolles	4	17/0	6



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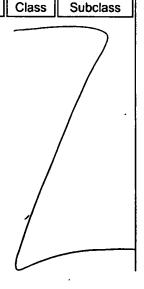
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### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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### Remarks

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Examiner Name		Date	
PROLLINS	4	17/04	



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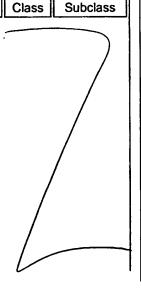
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or 4823791 or 5098431 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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	14	5514130	1996-05-07	Baker
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	18	4998933	1991-03-12	Eggers et al.
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### Remarks

Note: Remarks are not for responding to an office action.

This submission is part 2 of 6 parts each submitted on March 3, 2003. The first part of this submission (part 1) has been concomittently filed via Priority mail with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 2) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

Examiner Name	Date	е
R.Rollins	4/17/	06

FORM PTO-1449 (Modified)			Attorney Docket No.	Application No.:			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			S-12		10/656,597		
(Use	several s	she 61 Precessary	')	Applicant: David C. H	ovda et a	1.	
	2	MAR O 8 2004		Filing Date: September 5, 2003	Group: 3	763	
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			FOREI	GN PATENT DOCUMENTS			
		Document No.	Date	Country	Class	Sub- class	Translation (yes/no)
20	AN	57-57802	04/05/82	JP	A61B	1/00	
	АН	97/48345	12/24/97	WIPO	A61B	17/39	
	AI ·	98/27880	07/02/98	WIPO	A61B	17/39	
	AB	92/21278	12/10/92	WIPO	A61B	5/04	
	AC	94/08654	04/28/94	WIPO	A61M	37/00	
	AD	97/00647	01/09/97	WIPO	A61B	17/39	
	AE	97/00646	01/09/97	WIPO	A61B	17/39	
	AJ	2 327 350	01/27/99	UK	A61B	17/39	
	AK	2 327 351	01/27/99	UK	A61B	17/39	
	AL	2 327 352	01/27/99	UK	A61B	17/39	
	AA	0 694 290	11/15/00	Europe	A61B	18/04	
	AF	0 703 461	03/27/96	Europe	G01R	27/02	
	AG	0 754 437	01/22/97	Europe	A61B	17/39	
	AM	3930451	03/21/91	Germany	A61B	17/39	
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	AO	Pearce, John New York.	A. (1986) <i>Elec</i>	trosurgery, pgs. 17, 69	75, 87,	John Wile	ey & Sons,
	AP	J.W. Ramsey e	t al. <i>Urologic</i>	al Research Vol. 13, p	p. 99-102	(1985).	
	AQ	V.E. Elsasser	et al. Acta M	edicotechnica Vol. 24,	No. 4, pr	. 129-13	(1976).
	AR		(1989) <i>SPIE</i> 10 Energy and Impedan				
	AS	R. Tucker et Loop"	al., Abstract	P14-11, p. 248, "A Bipo	olar Elect	rosurgica	al Turp
	AT	R. Tucker et	al. J. of Urol	ogy Vol. 141, pp. 662-6	65, (1989	9).	
	AU	R. Tucker et	al. Urological	Research Vol. 18, pp.	291-294	(1990).	
M	AV	Kramolowsky e	t al. <i>J. of Ur</i>	ology Vol. 143, pp. 27	75-277 (19	990).	
EXA	AV Kramolowsky et al. J. of Urology Vol. 143, pp. 275-277 (1990).  EXAMINER D.P.O. DATE CONSIDERED H. 1706						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449 (Modified)			Attorney Docket No.	Application No.:		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets			S-12	10/656,597		597
,,,,,,	OTHE		Applicant: David C. I	lovda et a	al.	
	MAR 0 8 2004	8	Filing Date: September 5, 2003	Group:	3763	
Reference	Designation	U.S.	PATENT DOCUMENTS	<u> </u>		<del></del>
Examiner Initial	Documed FADE WARRY	Date	Name	Class	Sub- class	Filing Date
		FOREI	GN PATENT DOCUMENTS			
	Document No.	Date	Country	Class	Sub- class	Translation (yes/no)
BK	57-117843	07/22/82	JP	A61B	17/39	
BL	99/51158	10/14/99	WIPO	A61B	17/39	
ВМ	99/51155	10/14/99	WIPO	A61B	17/36	<u> </u>
BF	97/48346	12/24/97	WIPO	A61B	17/39	
AW	95/34259	12/21/95	WIPO	A61F	5/48	
BN	98/27879	07/02/98	WIPO	A61B	17/36	
BE	97/24994	07/17/97	WIPO	A61B	17/39	
BD	97/24993	07/17/97	WIPO	A61B	17/39	
ВО	97/24074	07/10/97	WIPO	A61B	17/39	
ВС	97/24073	07/10/97	WIPO	A61B	17/39	·
AX	93/13816	07/22/93	WIPO	A61B	17/36	<u> </u>
AY	90/07303	07/12/90	WIPO	A61B	17/39	
BG	98/07468	02/26/98	WIPO	A61N	1/40	
AZ	94/04220	03/03/94	WIPO	yes	A61N	
ВВ	96/00042	01/04/96	WIPO	A61B	17/39	
ВН	2 308 979	07/16/97	GB	A61B	17/36	
BI	2 308 980	07/16/97	GB	A61B	17/36	
ВЈ	2 308 981	07/16/97	GB	A61B	17/36	
BA	0 740 926 A2	11/06/96	EP	A61B	17/39	
	OTHER ART	Including Auth	or, Title, Date, Perti	nent Page	es, Etc.)	
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BQ	Slager et al.	Z. Kardiol. 70	6:Suppl. 6, 67-71 (198	7).		
BR	Slager et al.	JACC 5(6):1382	2-6 (1985).			
BS	Olsen MD, Bipo 10/07/91	olar Laparoscop	oic Cholecstectomy Lec	ture (mar	ked confi	dential),
EXAMINER						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) Attorney Docket No. Application No.: LIST OF PATENTS AND PUBLICATIONS FOR S-12 10/656,597 APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Applicant: David C. Hovda et al. Group: 3763 Filing Date: September 5, 2003 Reference Designation U.S. PATENT DOCUMENTS Document No. Date Name Class Sub-Filing Date Examiner Initial class FOREIGN PATENT DOCUMENTS Document No. Date Country Class Sub-Translation class (yes/no) P//BU1 93/20747 10/28/93 WIPO A61B 5/00 90/03152 WIPO A61B 17/39 BT 04/05/90 2313949 01/07/77 A61N 3/02 BU France OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Codman & Shurtleff, Inc. "The Malis Bipolar Electrosurgical System CMC-III Instruction Manual" 7/1991 BV Valley Forge's New Products, CLINICA, 475, 5, 11/6/91 BW Valley Forge Scientific Corp., "Summary of Safety and Effective Information RX from 510K," 1991 Codman & Shurtleff, Inc. "The Malis Bipolar Coagulating and Bipolar Cutting BY System CMC-II" brochure, early 1991 L. Malis, "The Value of Irrigation During Bipolar Coagulation" See ARTC 21602, BZearly 4/9/93 L. Malis, "Excerpted from a seminar by Leonard I. Malis, M.D. at the 1995 CA American Association of Neurological Surgeons Meeting," 1995 L. Malis, "Electrosurgery, Technical Note," J. Neursurg., Vol. 85, 970-975, CB 11/96 Ian E. Shuman, "Bipolar Versus Monopolar Electrosurgery: Clinical
Applications," Dentistry Today, Vol. 20, No. 12, 12/01 ЬC Protell et al., "Computer-Assisted Electrocoagulation: Bipolar v. Monopolar in CD the Treatment of Experimental Canine Gastric Ulcer Bleeding," Gastroenterology Vol. 80, No. 3, pp. 451-455 Cook and Webster, "Therapeutic Medical Devices: Application and Design," 1982 CE Valleylab SSE2L Instruction Manual, 1/6/83 CF Robert D. Tucker et al., "Demodulated Low Frequency Currents from CG Electrosurgical Procedures," Surgery, Gynecology and Obstetrics, 159:39-43, 1984 Lu, et al., "Electrical Thermal Angioplasty: Catheter Design Features, In CH Vitro Tissue Ablation Studies and In Vitro Experimental Findings," Am J. Cardiol Vol. 60, pp. 1117-1122 **EXAMINER** PEO I I MEDATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1	449 (Modified)		Attorney Docket No.	Application No.:		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT O (Use several sheets in pecessary)			s-12	10/656,597		97
/	· (8)		Applicant: David C. F	lovda et	al.	
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Reference	Demendation	U.S.	PATENT DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Class	Sub- class	Filing Date
		FORET	GN PATENT DOCUMENTS			
	Document No.	Date	Country	Class	Sub- class	Translation (yes/no)
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flch	Myocardium Dun & Obstetrics,	ring Electrosur Vol. 164, 219-	etric Current and Volta Egical Procedures in Ca 224, March 1987	anines,"	Surgery,	Gynecology 
CI	Analysis, McG	caw-Hill, 2 <sup>nd</sup> Ec	ne of Theory and Probled., 1992, pp. 3-5			it 
CJ	Arnaud Wattiez et al., "Electrosurgery in Operative Endoscopy," Electrosurgical Effects, Blackwell Science, pp. 85-93, 1995					
СК	Leslie A. Gede Press, 1998	Leslie A. Geddes, "Medical Device Accidents: With Illustrative Cases" CRC Press, 1998				
CL	Wyeth, "Elect:	rosurgical Unit	mp. 1181-1202			
СМ	C.P. Swain, e	t al., Gut Vol.	. 25, pp. 1424-1431 (1	984)		
CN	Piercey et al	., Gastroenter	ology Vol. 74(3), pp.	527-534 (	1978)	
СО	A.K. Dobbie B	io-Medical Eng.	ineering Vol. 4, pp. 2	06-216 (1	.969)	
CP	B. Lee et al.	JACC Vol. 13(5	5), pp. 1167-1175 (198	9)		
co	K. Barry et a	l. American Hea	art Journal Vol. 117,	pp. 332-3	(1982)	
CR	W. Honig IEEE	pp. 58-65 (19	75)			
cs 🔩	Jacob Kline, pp. 98-113, 1		omedical Engineering,	Academic	Press Inc	., N.Y.,
СТ	M.B. Dennis e Experimental 11, 845-848	t al. "Evolution Gastric Ulcers	on of Electrofulgurati ," Digestive Diseases	on in Cor and Scier	ntrol of B	sleeding of 24, No.
CU	Letter from D	epartment of H	ealth to Jerry Malis d	ated Apri	11 15, 198	5
cv	Letter from J	erry Malis to	FDA dated July 25, 198	5	<del></del>	
CM			ealth to Jerry Malis d			
сх	Surgery, Vol	Leonard Malis, "Instrumenation for Microvascular Neurosurgery" Cerebrovascular Surgery, Vol 1, 245-260, 1985.				
CY	Manual, 7/88		Part Number 945 100 10			
CZ	Leonard I. Ma Advanced Tech	nology in Neur	ds in Microsurgery and osurgery, 1-16, 1988	Applied	Technolog	JY, "
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FORM PTO-1449 (Modified)			Attorney Docket No.	Application No.:			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			S-12		10/656,5	97	
	Severar	1	<b>B</b> I	Applicant: David C. H	ovda et a	1.	
			MAR U B 2004 U.S.	Filing Date: September 5, 2003	Group: 3	763	
Ref	erence	Designation	U.S.	PATENT DOCUMENTS			
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		Document No.	Date	Country	Class	Sub- class	Translation (yes/no)
p	<b>¹</b> DA	94/14383	7/7/94	WO		7	
	DB	98/01087	1/15/98	WO	<u> </u>		
	DC	98/11944	3/26/98	WO		X	
	DD	98/17190	4/30/98	WO			
	DE	99/47058	9/23/99	WO			
		OTHER ART	(Including Auth	or, Title, Date, Perti	nent Page	s, Etc.)	
	DF	Targeted Intr	cteristics and adiscal Thermal	the Lumbar Disc: Evalu Therapy; Jeffrey A. S , CA	ation of Saal, MD;	a Novel A Joel S. S	approach to Saal, MD;
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